Mapping the Distribution, Abundance and Risk Assessment of Marine Birds in the Northwest Atlantic Ocean: Phase I, Proof of Concept and Techniques Development

> USFWS Northeast Region Science Seminar Series Webinar – August 8, 2013

> > Presenters: Beth Gardner Andrew Gilbert Brian Kinlan Dick Veit

Outline

- Intro and Overview Beth Gardner [NCSU]
- Data Management Andrew Gilbert [BRI]
- Data Review Dick Veit [CUNY]
- Exposure Modeling Brian Kinlan [NOAA/NCCOS]
- Risk Modeling Beth Gardner [NCSU]



Background

- Increased interest in sea bird distributions, habitat relationships, and carcass deposition rates
 - General ecology and management
 - Oil spills
 - Off shore wind farms





Background

- Off shore wind power garnering lots of interest
 - Many states have implemented a ~20% renewable energy by ~2020 mandate
- Increased risk due to collisions, anthropogenic activities, and habitat alteration



Spatial Risks?

How do we quantify the potential risks?

- What species of birds are present in the vicinity of a wind farm and how many? (Exposure)
- 2. What is the per capita probability of an adverse effect of wind farms on birds of a given species, given that they are present in the area? (Species-Specific Hazard or Sensitivity)
- 3. How much are the potential adverse impacts from combining (1) and (2) likely to impact the population of each species, given its current status, trends, and ecological traits? (**Population Vulnerability**)



Concept Map – Spatial Planning for Marine Bird Risk Assessment



Marine Spatial Planning & Seabirds – Summary of US Atlantic Projects

Abbrev.	Project	Institutions	Pl's	<u>Primary</u> Funding	<u>Approx</u> Timeframe
NALCC	Mapping the Distribution, Abundance and Risk Assessment of Marine Birds in the Northwest Atlantic Ocean: Phase I, Proof of Concept and Techniques Development	NCSU, NOAA/NCCOS, BRI, CUNY	Gardner, Kinlan, Gilbert, Veit	NALCC	2012-2013
MidAtl Baseline	Mid-Atlantic Baseline Studies Project	BRI, NCSU, CUNY, Duke, et al.	Williams, Gilbert, Gardner, Veit, et al.	DOE, Maryland DNR	2012-2015
Compendium	Atlantic Seabird Compendium Phases I & II	USGS PWRC, USFWS, NCSU, NOAA/NCCOS, Tufts, BRI, URI	O'Connell, Gilbert, Gardner, Kinlan, Wimer, Ellis, et al.	BOEM	2008-2013
AtlMapping	Atlantic Seabird Mapping and Modeling	NOAA/NCCOS	Kinlan	BOEM	2013-2016
AMAPPS	AMAPPS	NOAA/NMFS, USFWS, US Navy	Garrison, Palka, et al.	BOEM	2010-2013
ECOMON/HA	Ships of Opportunity Seabird Surveys	CUNY, NOAA/NMFS	Veit	NOAA	2006-2014
VulnIndex	Vulnerability Index	Normandeau	Willmot, Forcey, Kent	BOEM	2012-2013
StatGuidelines	Statistical Guidelines for Marine Bird Survey Effort for Hotspot and Coldspot Detection	NOAA/NCCOS	Kinlan, Zipkin, O'Connell	BOEM	2013-2014

NALCC

StatGuidelines

Concept Map – Spatial Planning for Marine Bird Risk Assessment



NALCC seabird modeling webinar

Andrew Gilbert - BRI Data assistance for modelers and Atlantic Seabird Compendium

History of Atlantic Seabird Compendium

- Need to evaluate seabird distribution for offshore proposals
- No centralized repository of seabird data for the U.S. Atlantic.
- USFWS funded USGS to catalog seabird datasets in 2005
- USFWS further funded USGS to compile and standardize data into a single database in 2006
- BOEMRE added funds to continue work and add modeling component in 2008-to present
- BDBM and Atlantic Modeling projects use data from db
- USSG, PWRC maintains database





Seabird Dataset Catalog

- Created a catalog of seabird datasets
- Record information about datasets and information they contain (metadata catalog)
 - Coverage area
 - Abstract
 - Dates
 - Data type (digital, analog, text file, GIS)
- Locate data and archive where possible

Dataset Catalog			
PISH & WILDLIFE BERVICE	NW Atlantic S Distribution - Dat	Seabird aset Ca	talog
Create Date: 08-09-200	5 Cat. Date 12-07-2007 ID:	1	Location:
Title: SEFSC Atlantic sur	veys, 1992	New/edit	Blake Plateau area of the Atlantic Ocean between 28
Version:	Proj. ID:	location	West Fast Langituda
Add/edit Data Contact:	Garrison, Lance		North-South Latitude: 35 28
Contact Originator:	·	Coverage	South Atlantic Bight _ UTM Zone:
Keywords:		Data Types Information	GEODB Coord. Sys.: Point Datum: Coord. Sys.: Coord. Sys.: Coor
Subject: seabird and ma	rine mammal survey 🗾	Format:	Excel spreadsheet and shapefile coverage
Abstract:		Date accessed:	7/15/2005 File Size (MB):
An Atlantic Ocean ship surve	ey was conducted by NOAA Southeast	File Location:	SEFSC Atlantic 1992a-b.csv, SEFSC Atlantic 1992a-b.sht
Fisheries Science Center to a predators. The primary area	study marine mammals and pelagic apex of operation was in the Blake Plateau area	At USGS?	Yes
of the Atlantic Ocean betwee	en 28 degree and 35 degree North latitude		seemen env duke edu/datesets/datei/3
Purpose:		Data Quality	+VER Verified for Correct Data Entry
To document and study marin	ne mammals and pelagic apex predators	Quality Report:	Good quality data collected as continuous record
			observations, although we believe that marine
Source Citation:	Add citation Remove cite link	Comments:	Accessed via OBIS-SeaMap website.
Oregon II Cruise 92-01	•		· ·
Undete	Data Cat Datas:	Metadata Ir Statue	nformation Data Protection Information
Frequency: None Planned	Start Date: 1/4/1992	Standard:	FGDC Sens. Type:
Status: Observation D	ate - End Date: 2/10/1992	Priority:	Classification: N/A
Progress:Complete 👱	J · · · ·		Restrictions:
	Add Record D	elete Record	Close

Example seabird surveys

	Years of	
Dataset	surveys	Region of survey
Manomet Center for Conservation Sciences	1978-1980	Gulf of Maine, Mid-Atlantic Bight
Cetacean and Seabird Assessment Program	1980-1988	Gulf of Maine, Mid-Atlantic Bight
Georgia pelagic surveys	1982-1985	South Atlantic Bight
Southeast Fisheries Science Center surveys	1992,1998,1999	South Atlantic Bight
Winter Survey of the Mid-Atlantic	2001-2003	Mid-Atlantic Bight
Cape Wind, Mass Audubon	2002-2006	Nantucket Sound
North Carolina shelf—trophic predators	2004-2005	Offshore North Carolina
Bar Harbor whale watch	2005-2006	Offshore Mount Desert Island, ME
NOAA Ecosystem Monitoring Survey	2007-2012	Gulf of Maine, Mid-Atlantic Bight
NOAA Herring Acoustic Survey	2006-2012	Gulf of Maine, Mid-Atlantic Bight

Database compilation

- Create master observation dataset
 - Create standard species lists
 - Create common data fields (date, time, observation ID, effort ID, etc.)
- Create effort dataset when possible and link to species observations
 - presence <u>AND</u> absence data
 - facilitates error detection
- Create Postgresql 8.4
 relational database



Occurrence and effort data

- >>270,000 obs (~80) datasets
- >data spans the 1900's, but most from the 1980s
- Data collected using a mix of scientific and non-scientific methods





- Standardized survey effort in 5-min equivalents
 - Discrete time transects: 5 minute equivalents = # of 5 minute periods of survey
 - Continuous time transects: 5 minute equivalents = 0.8333 nautical mile survey segments (the distance traveled by a ship traveling 10 knots for 5 minutes)

Develop survey processing tools

- Tools developed to work with existing seabird datasets in ArcGIS 10.1
- Survey track divider, density estimator, Dlog track builder, GPS position estimation

Estimate track points for GPS

Select the transect point laver

Vorwrite the point file with edits

-1

-1

About

and Exit

ID field

GPS point ID start:

GPS point ID end:

Latitude field:

Longitude field:

Output file name

Submit

Version date: 12 July 2013

Date field:

Time field

•

Species density calculator

Observation fields

Select the species observation data

Select the species field

Select the count field:

Include date checks?

Include time checks?

Available species

Select the observation date field

Select the observation time field

>

>>

?

<<

<

Species added

-	Create survey tra	cks				x
	This program takes creates discrete tra	s DLog inpu	br It from seabird ords and midp	survey	/s and	_
	featureclasses for f Use starts and stop skips to pass over	these trans where tra portions of	ect segments. nsect legs beg DLog records	in and	end ar	nd
	Select the dlog p	oint layer	:		•	
	Latitude field:					*
	Longitude field: Date field:					▼ ▼
	Time field:					¥
	Transect ID field:					-
	Transposition field					Y
l í	Transcot ino hamo					
	GPS time gap to sp	oan:	1 mi	nute(s))	
						×
	2 H			No. 1	2L	
Sele Trans	ct the survey transect da tect fields	ata: Polyline fe	ature data are the o	only allow	able tr 🔻	
Sele (mu	ect the transect distance st be nautical mile units	field				-
Sele	ct the transect start date	field:				-
Sele	ct the transect start time	field:				-
Sele	ect the transect end date	field:				
Uele	ior are transectional time	nord.				
Ente	r the time buffer in seco	nds:	60			
Ente coor	r tne survey track buffer dinate system measure	in units:	1		units	
Ente	r the survey track width	in			meters	
Selec meas	t the units of ure that density will be ca	Iculated using	kilometer	•		

				2012
version	date:	23	November	2012

About

Submit

				0.0			0.0	
 V 	ersi	on	date	: Z3	INO	vemb	er 20	114

Submit

Please enter the desired

Divide transects

Date/time fields

Begin date field:

Begin time field

End date field:

End time field

Select an ID field to

transect distance

keep as a linking ID:

Select the track file to divide into transects

transects. Date and time fields may be the same

Select the date and time fields for the beginning and end of the

O Divide by distance O Divide by time

0.8333

About

nautical miles

Cancel

and Exit

Current and future work

- Continue working with USGS database personnel to provide them with processed and QA/QC'd data from the most recent surveys available
 - DOE Mid-Atlantic baseline surveys boat and hi-definition aerial
 - NOAA EcoMon and Herring Acoustic Surveys 2011/2012 boat surveys from Richard Veit
 - AMAPPS surveys (NOAA, BOEM, NAVY, USFWS)
- Work with modelers to update database and provide data support as needed
- Update tools as needed to make processing more efficient in current version of ArcGIS

Error Checking of USGS Database Richard Veit and Deborah Jaques

- 270,000 record database
- Checked for errors in "4 letter" codes
- Particular problem with "ROTE" meaning either Roseate Tern or Royal Tern
- We have made suggested changes
- Unidentified birds (e.g. UNTE, UNAL, UNSH) represent a large fraction of birds in database





Data SID, NDAA, U.S. Navy, NGA, GEBCO 2013 Cnes/Spot Image mage U.S. Geological Survey Image © 2013 TerraMetrics

0 0

•

ilego





Environmental and bird data collected separately

Bird data collected from ships, most modeled data long term averages from satellite



Predictive models of at-sea marine bird occurrence and abundance in the U.S. Mid-Atlantic

USFWS Northeast Region Science Seminar Series August 8, 2013



NCCSS

Brian Kinlan

brian.kinlan@noaa.gov

Robert Rankin Chris Caldow

Funding/collaborators:

North Atlantic 🕅 Landscape Conservation Cooperative





NOAA / NOS / National Centers for Coastal Ocean Science (NCCOS) Silver Spring, MD

All opinions expressed in this talk are those of the lead author and do not necessarily reflect the opinions of NOAA, project funders, or project partners.

Study Areas

Phased approach



Phase I (2010-2012): New York Bight NOAA, NY Dept. of State



Phase II (2011-2013): U.S. Mid-Atlantic NOAA, BOEM, USGS



Phase III (2013-2015): U.S. Atlantic NOAA, BOEM, USGS



Statistical modeling approach

Zero-inflated Negative Binomial GAMLSS model (Generalized Additive Modeling of location, scale, and shape) fit using Component-wise Ensemble Gradient Boosting (*mboost, gamboostLSS*)



Details: Hierarchical ZIP/NB GAMLSS Model, Component-wise Ensemble Boosting

- Technique based on Schimd et al. (2010); Component-wise modification of 'mboost' R package (Bühlmann et al 2007)
- Loss function: negative log likelihood of the ZIP/NB (*l*)
- Iteratively fit base-learners to the partial derivative of the negative log likelihood w.r.t each parameter's fitted function, $f_k(x)$.
- Cross-validation (Brier Score) to tune size of ensemble and shrinkage rate
- Schapire, Robert E. "The Strength of Weak Learnability." Machine Learning 5, no. 2 (1990): 197–227. doi:10.1023/A:1022648800760.
- Friedman, Jerome H. "Greedy Function Approximation: a Gradient Boosting Machine." Annals of Statistics 29, no. 5 (2001): 1189–1232.
- Elith, J, J R Leathwick, and T Hastie. "A Working Guide to Boosted Regression Trees." The Journal of Animal Ecology 77, no. 4 (July 2008): 802–813. doi:10.1111/j.1365-2656.2008.01390.x.
- Schmid, M., S. Potapov, A. Pfahlberg, and T. Hothorn. "Estimation and Regularization Techniques for Regression Models with Multidimensional Prediction Functions." Statistics and Computing 20, no. 2 (2010): 139–150.
- Borisov, Alexander, G. Runger, E. Tuv, and Nutta Lurponglukana-Strand. "Zero-inflated Boosted Ensembles for Rare Event Counts." Advances in Intelligent Data Analysis VIII 5572 (2009): 225–236. doi:10.1007/978-3-642-03915-7_20.
- Hutchinson, R.A., L.P. Liu, and T.G. Dietterich. "Incorporating Boosted Regression Trees into Ecological Latent Variable Models." In Proceedings of the Twenty-Fifth AAAI Conference on Artificial Intelligence, edited by W Burgard and D. Roth, 1343–1348. Association for the Advancement of Artificial Intelligence, 2011. http://www.aaai.org/ocs/index.php/AAAI/AAAI11/paper/viewFile/3711/4086.
- Mayr, Andreas, Nora Fenske, Benjamin Hofner, Thomas Kneib, and Matthias Schmid. "Generalized Additive Models for Location, Scale and Shape for High Dimensional Data—a Flexible Approach Based on Boosting." *Journal of the Royal Statistical Society: Series C (Applied Statistics)* 61, no. 3 (2012): 403–427. doi:10.1111/j.1467-9876.2011.01033.x.
- Bühlmann, Peter, and Torsten Hothorn. "Boosting Algorithms: Regularization, Prediction and Model Fitting." Statistical Science 22, no. 4 (November 2007): 477–505. doi:10.1214/07-STS242.

Compendium of Avian Information in the U.S. Atlantic Outer Continental Shelf (USGS, BOEM)

For modeling purposes:

- 32 scientific data sets –
 28 ship-based, 4 aerial
- Transects were standardized to 4.63km
- 44,176 survey transects representing 463 species



Summary of modeled species

- Modeled 26 species individually
- Otherwise grouped taxonomically and ecologically similar species
- Up to four seasonal models for each species/group: Spring, Summer, Fall, Winter

				Modeled?			
Species code	Common name	Scientific name	Family	Spring	Summer	Fall	Winter
aush	Audubon's Shearwater	Puffinus lherminieri	Procellariidae	No	Yes	Yes	No
blki	Black-Legged Kittiwake	Rissa tridactyla	Laridae	Yes	No	Yes	Yes
blsc	Black Scoter	Melanitta americana	Anatidae	Yes	No	Yes	Yes
bogu	Bonaparte's Gull	Chroicocephalus philadelphia	Laridae	No	No	No	Yes
coei	Common Eider	Somateria mollissima	Anatidae	Yes	Yes	Yes	Yes
colo	Common Loon	Gavia immer	Gaviidae	Yes	Yes	Yes	Yes
cosh	Cory's Shearwater	Calonectris diomedea	Procellariidae	No	Yes	Yes	No
cote	Common Tern	Sterna hirundo	Sternidae	Yes	Yes	Yes	No
dove	Dovekie	Alle alle	Alcidae	No	No	Yes	Yes
gbbg	Great Black-Backed Gull	Larus marinus	Laridae	Yes	Yes	Yes	Yes
grsh	Great Shearwater	Puffinus gravis	Procellariidae	Yes	Yes	Yes	No
herg	Herring Gull	Larus argentatus	Laridae	Yes	Yes	Yes	Yes
lagu	Laughing Gull	Leucophaeus atricilla	Laridae	Yes	Yes	Yes	No
lesp	Leach's Storm-Petrel	Oceanodroma leucorhoa	Hydrobatidae	No	Yes	Yes	No
ltdu	Long-tailed Duck	Clangula hyemalis	Anatidae	Yes	Yes	Yes	Yes
nofu	Northern Fulmar	Fulmarus glacialis	Procellariidae	Yes	Yes	Yes	Yes
noga	Northern Gannet	Morus bassanus	Sulidae	Yes	Yes	Yes	Yes
poja	Pomarine Jaeger	Stercorarius pomarinus	Stercorariidae	No	No	Yes	No
razo	Razorbill	Alca torda	Alcidae	Yes	Yes	Yes	Yes
reph	Red Phalarope	Phalaropus fulicarius	Scolopacidae	Yes	No	No	No
rtlo	Red-Throated Loon	Gavia stellata	Gaviidae	Yes	No	Yes	Yes
sosh	Sooty Shearwater	Puffinus griseus	Procellariidae	Yes	Yes	No	No
susc	Surf Scoter	Melanitta perspicillata	Anatidae	Yes	Yes	Yes	Yes
wisp	Wilson's Storm-Petrel	Oceanites oceanicus	Hydrobatidae	Yes	Yes	Yes	No
wwsc	White-Winged Scoter	Melanitta fusca	Anatidae	Yes	Yes	Yes	Yes

Potential Predictor Variables

- Spatial variables
 - Static (e.g., Bathymetry)
 - Dynamic (e.g., SST) Seasonal Climatologies

Temporal variables

- Climate Indices (with and without lags)
- Year
- Julian Day
- Models fit separately by season (Spring, Summer, Fall, Winter)

• Survey variables

- Transect
- Dataset
- Platform



Sea Surface Temperature





Turbidity







Fall





Sea Sudace Netter

Augment G

Endfuter

Endfuter

Chlorophyll-a



Instantian Barana

Englishter Bisses

Instantian Biorana



Fall

Cyclonic Eddy Probability



Anticyclonic Eddy Probability









Winter

Fall

Divergence of surface currents

International States

SST Front Probability





Vorticity



Alongshore Current Speed







20 NN 20 UV UN 10 HU

Temporal variables

- Year
- Julian day
- Climate index time series (at lag 0 and lag 1 year)



Survey variables

- Transect ID
- Dataset ID
- Platform (Boat/Plane)

Predictive maps of long-term occurrence and abundance patterns


Insights into important predictors



Flexible estimation of non-linear effects

NOAA



Characterization of complex interactions

Cory's shearwater (summer) – Occurrence component



Input into wind energy planning









Value of fine-scale information



Value of model uncertainty assessment



Synthetic map products: abundance hotspots



Synthetic map products: diversity hotspots



Next steps for risk assessment

- 1. What species of birds are present in the vicinity of a wind farm and how many? (**Exposure**)
- 2. What is the per capita probability of an adverse effect of wind farms on birds of a given species, given that they are present in the area? (Species-Specific Hazard or Sensitivity)
- How much are the potential adverse impacts from combining (1) and (2) likely to impact the population of each species, given its current status, trends, and ecological traits? (Population Vulnerability)

Mapping the distribution, abundance and risk assessment of marine birds in the Northwest Atlantic

Earvin Balderama^{1,2}, Beth Gardner¹, Brian Reich²

¹Dept. of Forestry and Environmental Resources North Carolina State University

> ²Dept. of Statistics North Carolina State University

> > August 8, 2013

Outline

Motivation Seabird data **Common Eider Greater Shearwater** Herring Gull Long-tailed Duck Northern Gannett Wilson's Storm Petrel Models and Methods Results Conclusion









Motivation

Development of offshore wind energy facilities

Increased mortality from wind turbine collisions

Effects of anthropogenic activities

Altered habitat, source of food

Statistical motivation

Distribution & relative abundance

Spatial heterogeneity

High-risk areas



NC STATE UNIVERSITY

Data Collection

Boat and aerial transects from 1992 - 2010

43,701 transects

133,890 separate sightings

- > 2 million total birds
- ~ 150 unique species



Dat

Bird Sightings

Boat and aerial transects from 1992 - 2010

43,701 transects 133,890 separate sightings

> 2 million total birds

 ~ 150 unique species

Observer information

Species

Count

Longitude, Latitude

Date, Time



Data

Spatial domain

Space-time window

15,984 sites

 $4km \times 4km$ each site

July 2002 - November 2010

Group data by calendar month (*MON*)



Dat

Effort

Space-time window

15,984 sites

 $4km \times 4km$ each site

July 2002 - November 2010

Group data by calendar month (*MON*)



Space-time window

15,984 sites

 $4km \times 4km$ each site

July 2002 - November 2010

Group data by calendar month (*MON*)

Site-level variables

Sea surface temp. (SST)



Space-time window

15,984 sites

 $4km \times 4km$ each site

July 2002 - November 2010

Group data by calendar month (*MON*)

Site-level variables

Sea surface temp. *(SST)* Bathymetry *(BATH)*



Space-time window

15,984 sites

 $4km \times 4km$ each site

July 2002 - November 2010

Group data by calendar month (*MON*)

Site-level variables

Sea surface temp. *(SST)* Bathymetry *(BATH)* Chlorophyll-a *(CHL)*



Space-time window

15,984 sites

 $4km \times 4km$ each site

July 2002 - November 2010

Group data by calendar month (*MON*)

Site-level variables

Sea surface temp. *(SST)* Bathymetry *(BATH)*

Chlorophyll-a (CHL)

Distance to shoreline (DTS)



Common Eider data

Observed count	Frequency
0	10,736
1 - 100	537
101 - 1000	147
1,001 - 10,000	83
10,001 - 50,000	23
50,001+	1

16/71

Need a model that accounts for:

Zero-inflation

Extreme counts

Everything in between

Mixture model

Zero-Inflated Negative Binomial (ZINB)

$$f(y|m,r) = \begin{cases} \pi + (1-\pi) \cdot \left(\frac{r}{r+m}\right)^r & \text{if } y = 0, \\ \\ (1-\pi) \cdot \frac{\Gamma(y+r)}{\Gamma(r)y!} \left(\frac{r}{r+m}\right)^r \left(\frac{m}{r+m}\right)^y & \text{if } y \ge 1 \end{cases}$$

Mixture model

Zero-Inflated Negative Binomial (ZINB)

$$f(y|m,r) = \begin{cases} \pi + (1-\pi) \cdot \left(\frac{r}{r+m}\right)^r & \text{if } y = 0, \\ \\ (1-\pi) \cdot \frac{\Gamma(y+r)}{\Gamma(r)y!} \left(\frac{r}{r+m}\right)^r \left(\frac{m}{r+m}\right)^y & \text{if } y \ge 1 \end{cases}$$

Generalized Pareto Distribution (GPD)

$$g(\mathbf{y}|\boldsymbol{\mu}, \sigma, \xi) = \frac{\sigma^{1/\xi}}{\left(\sigma + \xi \times (\mathbf{y} - \boldsymbol{\mu})\right)^{\frac{1}{\xi} + 1}}$$

NC STATE UNIVERSITY

Models

Pure Mixture Models

- ZINB, for example,
- Models extra zero-counts in addition to NB zeros.

Model

Models

Pure Mixture Models

- ZINB, for example,
- Models extra zero-counts in addition to NB zeros.

Hurdle Model

- Works as a zero-inflated model.
- f is truncated at 0, and normalized to be a density.
- Zeros generated by a separate mechanism.

Model

Models

Pure Mixture Models

- ZINB, for example,
- Models extra zero-counts in addition to NB zeros.

Hurdle Model

- Works as a zero-inflated model.
- *f* is truncated at 0, and normalized to be a density.
- Zeros generated by a separate mechanism.

Double-Hurdle Model

- f is truncated at 0 and censored at μ
- Each component generated by a separate mechanism (density function).

Double-Hurdle model

$$P(\mathbf{y}|\boldsymbol{\theta}) = \begin{cases} 1 & \text{if } \mathbf{y} = 0, \\ f(\mathbf{y}|\boldsymbol{\theta}) \sim \mathsf{NB}(\mathbf{m}, \mathbf{r}) & \text{if } 1 \le \mathbf{y} < \boldsymbol{\mu}, \\ g(\mathbf{y}|\boldsymbol{\theta}) \sim \mathsf{GPD}(\boldsymbol{\mu}, \boldsymbol{\sigma}, \boldsymbol{\xi}) & \text{if } \mathbf{y} \ge \boldsymbol{\mu}, \end{cases}$$

 θ represents the set of model parameters,

f is truncated at 0 and censored at μ , and normalized,

Double-Hurdle model

$$P(\mathbf{y}|\boldsymbol{\theta}) = \begin{cases} 1 & \text{if } \mathbf{y} = 0, \\ f(\mathbf{y}|\boldsymbol{\theta}) \sim \mathsf{NB}(\mathbf{m}, \mathbf{r}) & \text{if } 1 \le \mathbf{y} < \boldsymbol{\mu}, \\ g(\mathbf{y}|\boldsymbol{\theta}) \sim \mathsf{GPD}(\boldsymbol{\mu}, \boldsymbol{\sigma}, \boldsymbol{\xi}) & \text{if } \mathbf{y} \ge \boldsymbol{\mu}, \end{cases}$$

 θ represents the set of model parameters,

f is truncated at 0 and censored at μ , and normalized,

Each component can be modeled separately.

Double-Hurdle model

Full data log-likelihood

$$\ell = \sum \log \left[\mathbf{p}_{Z} \cdot \mathbb{1}_{[\mathbf{y}=0]} + (1 - \mathbf{p}_{E}) \cdot (1 - \mathbf{p}_{Z}) \cdot f(\mathbf{y}|\mathbf{m}, \mathbf{r}) \cdot \mathbb{1}_{[1 \le \mathbf{y} < \boldsymbol{\mu}]} + \mathbf{p}_{E} \cdot (1 - \mathbf{p}_{Z}) \cdot g(\mathbf{y}|\boldsymbol{\mu}, \boldsymbol{\sigma}, \boldsymbol{\xi}) \cdot \mathbb{1}_{[\mathbf{y} \ge \boldsymbol{\mu}]} \right]$$

where 1 is the indicator function,

and the parameters that depend on site-level and spatial covariates are

- $\mathbf{p}_Z = \Pr(\text{site contains a Zero-count})$
- $\mathbf{p}_E = \Pr(\text{site contains an Extreme-count})$
- **m** = mean of Typical-count distribution.

Spatial random effects

Represent a model parameter θ through some link function Φ in a general spatial regression model

$$\Phi(\theta) = \mathbf{X}\boldsymbol{\beta} + \mathbf{S} + \mathbf{e}$$

• S is a Guassian process that accounts for spatial autocorrelation.

Spatial random effects

Represent a model parameter θ through some link function Φ in a general spatial regression model

$$\Phi(\theta) = \mathbf{X}\boldsymbol{\beta} + \mathbf{S} + \mathbf{e}$$

• S is a Guassian process that accounts for spatial autocorrelation.

4

Use the Guassian Markov Random Field (GMRF) prior distribution

$$\pi(\mathbf{S}|\tau) \propto \tau^{\mathrm{rank}(\mathbf{Q})/2} \exp\left(-\frac{\tau}{2}\mathbf{S}'\mathbf{Q}\mathbf{S}
ight)$$

- $\mathbf{Q} = \mathbf{D} \rho \mathbf{A}$ is the CAR precision and τ is a smoothing parameter.
- **D** is a diagonal matrix with entries the number of each site's neighbors,
- A is the adjacency matrix.
- Let $\rho = 1$ to specify the intrinsic CAR prior.

Dimension reduction

 $\begin{aligned} \text{logit}(\mathbf{p}_Z) &\cong \mathbf{X}\boldsymbol{\beta}_Z + \mathbf{S} \\ \text{log}(\mathbf{m}) &\cong \mathbf{X}\boldsymbol{\beta}_T + \mathbf{S} \\ \text{logit}(\mathbf{p}_E) &\cong \mathbf{X}\boldsymbol{\beta}_E + \mathbf{S} \end{aligned}$

Dimension reduction

 $logit(\mathbf{p}_{Z}) \cong \mathbf{X}\boldsymbol{\beta}_{Z} + \mathbf{S}$ $log(\mathbf{m}) \cong \mathbf{X}\boldsymbol{\beta}_{T} + \mathbf{S}$ $logit(\mathbf{p}_{E}) \cong \mathbf{X}\boldsymbol{\beta}_{E} + \mathbf{S}$

With 15984 sites, want to reduce dimensionality of S.

$$\mathbf{S} = \mathbf{V}_{n \times n} \cdot \mathbf{\alpha}_{n \times 1} \approx \mathbf{V}_{n \times q} \cdot \mathbf{\alpha}_{q \times 1}$$

V is the set of (orthonormal) eigenvectors from the decomposition of Q, α is the vector of coefficients, and $q \ll n$ q = 50 in the following analyses. Model

Greater Shearwater significant spatial effects for m



NC STATE UNIVERSITY

29/71

Parameter Estimation

Uninformative Priors $\beta, \alpha \stackrel{iid}{\sim} \text{Normal}(0, 1000000)$ $\tau \sim \text{Gamma}(0.5, 0.005)$ $r, \sigma \sim \text{log-Normal}(5, 100)$ $\xi \sim \text{Normal}(0, 1)$

 μ set to a fixed constant.

Updated one-at-a-time

Gibbs updates for au

Metropolis-Hastings updates for all others.
Significant predictors

Common Eider			
	Parameter		
Covariate	\mathbf{p}_Z	\mathbf{m}_T	\mathbf{p}_E
Sea surface temp	+		—
Bathymetry		+	
Chlorophyll-a	_	+	
Distance to shore	+	+	
Month			
Month ²	_		

where +/- indicates positive/negative relationship.

Greater Shearwater

	Parameter		
Covariate	\mathbf{p}_Z	\mathbf{m}_T	\mathbf{p}_E
Sea surface temp	+	-	-
Bathymetry	_		
Chlorophyll-a	+		
Distance to shore	_		
Month	_	+	+
Month ²	+	_	_

Extreme-Count Risk Map: January



Red: higher probability of an extreme count. Blue: lower probability.

Extreme-Count Risk Map: June



Red: higher probability of an extreme count. Blue: lower probability.

Extreme-Count Risk Map: October



Red: higher probability of an extreme count. Blue: lower probability.

NC STATE UNIVERSITY

41/71

Non-Zeros Risk Map: January



Red: higher probability of a positive count. Blue: lower probability.

Non-Zeros Risk Map: June



Red: higher probability of a positive count. Blue: lower probability.

NC STATE UNIVERSITY

49/71

Non-Zeros Risk Map: October



Red: higher probability of a positive count. Blue: lower probability.

Non-Zeros Risk Map: December



Red: higher probability of a positive count. Blue: lower probability.

Model Summary

Double-Hurdle model for zero-inflation and extreme counts.

Dimension reduction on spatial random effects.

Easy to interpret and map risk with $\mathbf{p}_Z, \mathbf{m}, \mathbf{p}_E$.

Future Work

Other mixtures of distributions Data without "Extremes" Model comparison/diagnostics using...DIC? Automatic extremes threshold estimation Multiple hurdles

Thank you!

Acknowledgements

North Atlantic Landscape Conservation Cooperative (NALCC) Wildlife Management Institute (WMI) Allison Sussman (USGS Patuxent Wildlife Research Center) Mark Wimer (USGS Patuxent Wildlife Research Center)

References

- Menza, C., Kinlan, B. P., Dorfman, D. S., Poti, M., and Caldow, C. (2012), "A Biogeographic Assessment of Seabirds, Deep Sea Corals and Ocean Habitats of the New York Bight: Science to Support Offshore Spatial Planning," NOAA Technical Memorandum NOS NCCOS 141, NOAA National centers for coastal Ocean Science, Silver Spring, MD.
- O'Connell, A., Gardner, B., Gilbert, A., and Ellis, J. (2011), "Compendium of Avian Occurrence Information for the Continental Shelf Waters along the Atlantic Coast of the United States," Tech. rep., U.S. Fish and Wildlife Service (FWS).
- Zipkin, E. F., Gardner, B., Gilbert, A. T., O'Connell, A. F., Royle, J. A., and Silverman, E. D. (2010), "Distribution patterns of wintering sea ducks in relation to the North Atlantic Oscillation and local environmental characteristics," *Oecologia*, 163, 893–902.